



13281 U.S.PTO

032404

PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	500.43697X00
First Inventor	TACHIHARA, HIDEKAZU
Title	PERFORMANCE MANAGEMENT METHOD, SYSTEM AND PROGRAM
Express Mail Label No.	

APPLICATION ELEMENTS
SEE MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

- Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- Applicant claims small entity status.
See 37 CFR 1.27.
- Specification [Total Pages: 57]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets: 37]
- Oath or Declaration [Total Sheets: _____]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
- Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission *if applicable, all necessary*
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

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ACCOMPANYING APPLICATION PARTS		
9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s))		
10. <input type="checkbox"/> 37 CFR 3.73(b) Statement	<input type="checkbox"/> Power of Attorney	<i>(when there is an assignee)</i>
11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>		
12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input checked="" type="checkbox"/> Copies of IDS Citations	
13. <input type="checkbox"/> Preliminary Amendment		
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>		
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>		
16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.		
17. <input checked="" type="checkbox"/> Other: Figs. 1-42; Credit Card Payment Form; Claim for Priority		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner: _____ Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number	020457			<input type="checkbox"/> Correspondence address below
Name	ANTONELLI, TERRY, STOUT & KRAUS, LLP			
Address				
City	State		Zip Code	
Country	Telephone	(703) 312-6600	Fax	(703) 312-6666
Name (Print/Type)	Registration No. (Attorney/Agent)			32,173
Signature				Date
				March 24, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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US PTO

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 770.00)

Complete if Known

Application Number	
Filing Date	March 24, 2004
First Named Inventor	TACHIHARA, HIDEKAZU
Examiner Name	

Art Unit

Attorney Docket No. 500.43697X00

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order Other None
 Deposit Account:

Deposit Account Number	01-2135
Deposit Account Name	Antonelli, Terry, Stout & Kraus, LLP

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) during the pendency of this application. Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION (continued)

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Fee Code (\$)	Small Entity Fee	Fee Code (\$)	Fee Description
1001	770	2001	385	Utility filing fee
1002	340	2002	170	Design filing fee
1003	530	2003	265	Plant filing fee
1004	770	2004	385	Reissue filing fee
1005	160	2005	80	Provisional filing fee

Fee Paid

770

SUBTOTAL (1)

770

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Fee from Extra Claims below	Fee Paid
Total Claims 10 -20* = 0	x 18.00 = 0.00
Indep. Claims 3 -3** = 0	x 86.00 = 0.00
Multiple Dependent	290.00 = 0.00

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 0.00

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Fee Description	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	Surcharge – late filing fee or oath	2051 65	Surcharge – late filing fee or oath	
1052 50	Surcharge – late provisional filing fee or cover sheet	2052 25	Surcharge – late provisional filing fee or cover sheet	
1053 130	Non-English specification	1053 130	Non-English specification	
1812 2,520	For filing a request for ex parte reexamination	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	Requesting publication of SIR prior to Examination action	1804 920*	Requesting publication of SIR prior to Examination action	
1805 1,840*	Requesting publication of SIR after Examiner action	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	Extension for reply within first month	2251 55	Extension for reply within first month	
1252 420	Extension for reply within second month	2252 210	Extension for reply within second month	
1253 950	Extension for reply within third month	2253 475	Extension for reply within third month	
1254 1,480	Extension for reply within fourth month	2254 740	Extension for reply within fourth month	
1255 2,010	1,005 Extension for reply within fifth month	2255 1,005	1,005 Extension for reply within fifth month	
1401 330	Notice of Appeal	2401 165	Notice of Appeal	
1402 330	Filing a brief in support of an appeal	2402 165	Filing a brief in support of an appeal	
1403 290	Request for oral hearing	2403 145	Request for oral hearing	
1451 1,510	Petition to institute a public use proceeding	1451 1,510	Petition to institute a public use proceeding	
1452 110	Petition to revive – unavoidable	2452 55	Petition to revive – unavoidable	
1453 1,330	Petition to revive – unintentional	2453 665	Petition to revive – unintentional	
1501 1,330	Utility issue fee (or reissue)	2501 665	Utility issue fee (or reissue)	
1502 480	Design issue fee	2502 240	Design issue fee	
1503 640	Plant issue fee	2503 320	Plant issue fee	
1406 130	Petitions to the Commissioner	1460 130	Petitions to the Commissioner	
1807 50	Processing fee under 37 CFR 1.17(q)	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	Submission of Information Disclosure Stmt	1806 180	Submission of Information Disclosure Stmt	
8021 40	Recording each patent assignment per property (times number of properties)	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	Filing a submission after final rejection (37 CFR § 1.129(a))	2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 770	For each additional invention to be examined (37 CFR § 1.129(b))	2810 385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 770	Request for Continued Examination (RCE)	2801 385	Request for Continued Examination (RCE)	
1802 900	Request for expedited examination of a design application	1802 900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

0.00

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Paul J. Skwierawski	Registration No. (Attorney/Agent)	32,173	Telephone	703-312-6600
Signature	<i>Paul J. Skwierawski</i>			Date	03/24/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450